

12th April, 2024

To

Department of Corporate Relations

BSE Limited

P. J. Towers,
Dalal Street, Fort
Mumbai-400 001

Our Values



Innovation



Trust



Growth



Integrity

Security Code: 541358; **ISIN:** INE926R01012

Dear Sir/Madam,

Sub: **Compliance Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the year ended March 31, 2024**

In Pursuance to **Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**, we hereby submit Compliance Certificate regarding the issue of certificates, post transfer, subdivision, renewal etc of the shares of the Company, for the year ended March 31, 2024 duly certified by a Practicing Company Secretary.

Kindly take the above information on your record and oblige.

Thanking You
Yours' Faithfully

For **Unifinz Capital India Limited**

Pawan Kumar Mittal
(Director)
DIN: 00749265

Unifinz Capital India Limited

Formerly Shree Worstex Limited

CIN: L17111DL1982PLC013790 | Chawla House 3rd floor, 19 Nehru Place, New Delhi - 110 019

+91-11-49953454 | +91-7373737316 | info@lendingplate.com | lendingplate.com | unifinz.in

To,

The Board of Directors

Unifinz Capital India Limited
Chawla House, 3rd Floor, 19,
Nehru Place New Delhi 110019

CERTIFICATE UNDER REGULATION 40 (9) OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATION 2015, FOR THE YEAR ENDED ON 31st DAY OF MARCH 2024.

I have examined all the relevant documents and records relating to Unifinz Capital India Limited (CIN-L17111DL1982PLC013790) (formerly known as Shree Worstex Limited) (the "Company"), having registered office at Chawla House, 3rd Floor, 19, Nehru Place, New Delhi-110019, maintained by Skyline Financial Services Private Limited, an Share Transfer Agent Limited pertaining to transfer/transmission of equity shares of the Company for the period from 1st April, 2023 to 31st March, 2024 for the purpose of issuing a Certificate as per Regulation 40 (9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("Listing Regulations") and based on the information and explanation provided by the Company, I hereby certify, for the year ended on 31st March, 2024, that:

- a) there was no request for transfer of shares received during the period from 1st April, 2023 to 31st March, 2024 and the share certificate(s) relating to transmission of shares have been issued within the period stipulated in the Listing regulations from the date of lodgment; and
- b) there was no request for Share certificate relating to sub-division, consolidation, renewal, exchange received during the period from 1st April, 2023 to 31st March, 2024 and the share certificate(s) relating to duplicate share certificate have been issued within the period stipulated in the Listing regulations from the date of lodgment.

Date: 12/04/2024

Place: JABALPUR

For Singh US & Associates
(Company Secretaries)



Uttasav Singh
Company Secretary
in Practice.

Uttasav Singh

M.No.: A61474, COP: 23792

Peer Review Certificate No.: 2694/2022

UDIN: A061474F000099567